

# PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DN A01422 GDG/sd

In re application of: Ward Thomas Brown, et al.

Serial No.: 10/642,791

Group Art Unit: 1711

Filed:

08/18/03

Examiner: to be assigned

For:

Polymer Particles Having Select Pendant Groups and Composition Prepared Therefrom

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclosure Statement List of Art Cited (Form PTO 1449) Cited Art Postcard

Dec. 2, 2003

Date

Signature

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#### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Applicants' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicants submit herewith a <u>list</u> of the documents listed on the enclosed form PTO-1449 "List of Art Cited by Applicant". Inclusion of a document in this Information Disclosure Statement or on its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

Enclosed are copies of Foreign Patent documents. Applicant(s) have not submitted any copies of U.S. Patents and Published Applications since this application was filed after June 30, 2003.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

Andrew E. C. Merriam Attorney for the Applicants

Reg. No. 47,268 (215) 592-6758

Rohm and Haas Company 100 Independence Mall West Philadelphia, PA 19106-2399



## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

	Sheet 1 of 2		
Atty Docket No.	Serial No.		
A01422	10/642,791		
Applicant			
Ward Thom	nas Brown et al		
Filing Date	Group		
8/18/03	1711		

		-	U.S. Pa	tent Documents		
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	4,997,864	03/05/91	Waters	523/319	
	AB	5,191,029	03/02/93	DelDonno	525/366	·
	AC	5,210,113	05/11/93	Waters	523/205	
	AD	5,296,524	03/22/94	Waters	523/319	,
	AE	5,324,879	06/28/94	Hawthorne	585/511	
	AF	5,362,826	11/08/94	Berge et al	526/194	
	AG	5,385,960	01/31/95	Emmons et al	523/205 .	
	AH	5,412,019	05/2/95	Roulstone et al	524/497	
	Al	5,509,960	04/23/96	Simpson et al	106/437	
	AJ	5,534,585	07/09/96	Roulstone et al	524/497	
	AK	5,554,215	09/10/96	Simpson et al	106/436	
			FOREIGN PA	ATENT DOCUMENTS		
		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
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\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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DEC 1		Sheet 2 of 2
ž9	Atty Docket No.	Serial No.
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LIST OF ART CITED BY APPLICANT	Applicant	
	Ward Thomas Brown et al	
(Use several sheets if necessary)	Filing Date	Group
	8/18/03	1711

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			U.S. Pa	tent Documents		
XAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE II APPROPRIATE
	AA	5,643,974	07/01/97	Simpson et al	523/334	
	AB	5,710,227	01/20/98	Freeman et al	526/208	
	AC	5,869,559	02/09/99	Simpson et al	524/497	
	AD	5,889,088	03/30/99	Kisuno et al	523/205	
	AE	5,952,404	09/14/99	Simpson et al	523/221	
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		A1				
	Al	60/485,411		Brown et al		7/28/03
	AJ	60/435,013		Brown et al		12/19/02
	AK	2003-0017348	1/23/03	Brown et al		
		A1				
	•	<u> </u>	FOREIGN PA	ATENT DOCUMENTS		
		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL	WO 99/25780	05/27/99	PCT		No
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<sup>\*</sup>EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.